

**UNITED STATES OF AMERICA
DEPARTMENT OF THE TREASURY
OFFICE OF THE COMPTROLLER OF THE CURRENCY**

In the Matter of:

HELEN CALDWELL,
Former Financial Advisor,

CITIBANK, N.A.
Sioux Falls, South Dakota

Docket No.:
AA-ENF-2023-41

**ORDER NO. 2: GRANTING REQUEST TO
TERMINATE THE PROCEEDINGS AGAINST RESPONDENT**

On November 8, 2023, Enforcement Counsel for the Office of the Comptroller of the Currency (“OCC”) filed a “Notice of Settlement” accompanied by a “Consent Order.” The Notice of Settlement requests that the undersigned terminate the OCC’s administrative enforcement proceeding against Respondent Helen Caldwell and to remove the case from the docket of the Office of Financial Institution Adjudication (“OFIA”). Good cause having been shown, the request is hereby GRANTED. The matter is hereby terminated and removed from OFIA’s docket.

SO ORDERED.

Issued: November 9, 2023



Jennifer Whang, Administrative Law Judge
Office of Financial Institution Adjudication